

Report to Audit Committee

Subject: Internal Audit Progress Report 2019/20

Date: 23 July 2019

Author: Chris Williams – Head of Internal Audit (RSM)

Purpose To summarise the outcome of the internal audit activity completed by the RSM Internal Audit Team for the period April 2019 to June 2019

Recommendation(s)

THAT:

- 1) Members receive the Report and note actions taken or to be taken.**

1 Background

- 1.1 The Internal Audit Plan 2019/20 was approved by Audit Committee on 19 March 2019. This report provides a summary update on the final report issued by RSM in the period April 2019 to June 2019 and highlights associated key findings and any concerns identified in any work in progress.

2 Proposal

- 2.1 The following reports have been finalised since the last Audit Committee meeting:

2018/19 Report

21.18/19 – Strategic Fraud Risk Assessment

This report was in draft at the last Audit Committee but has now been finalised and we can confirm that all 2018/19 audits have now been completed. As an advisory audit regarding a strategy document this report will be considered by Senior Leadership Team.

2019/20 Report (Final)

02.19/20 – Flexible and Lone Working

Although this report has been finalised it has been agreed with Senior Management to present the findings of the audit at the next Audit Committee.

2019/20 Reports (Draft)

- Safeguarding (01.19/20);
- Emergency Planning (03.19/20); and
- Building Control (04.19/20).

2019/20 Audits (In Progress)

- Follow Up 1.

3 Resource Implications

- 3.1 The Internal Audit Plan is delivered within the approved budgets.